

May 21, 2020

To,

BSE Limited
Listing Department
Department of Corporate Services
Floor, 25, P.J Towers,
Dalal Street Mumbai- 400001

Dear Sirs,

Ref: Script Code: 526783

Sub: Annual Secretarial Compliance Report for the year ended March 31, 2020

Pursuant to SEBI's Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019, we are forwarding herewith the Annual Secretarial Compliance Report duly signed by the Practicing Company Secretary for the year ended March 31, 2020.

You are requested to kindly take the above information on records. The report will be hosted on the Company's website at www.dragarwal.com.

For Dr. Agarwal's Eye Hospital Limited



Jolly Jivani
Company Secretary and Compliance Officer



Dr. Agarwal's Eye Hospital Ltd.

Regd. & Corporate Office :

3rd Floor, Buhari Towers, No.4, Moores Road, Off Greaves Road, Near Asan Memorial School, Chennai - 600 006.

Tel : +91-44-3991 6600 Fax : +91-44-3991 6645 CIN : L85110TN1994PLC027366 GST No. : 33AAACD2373G1Z2

Email : info@dragarwal.com | Website : www.dragarwal.com



**SECRETARIAL COMPLIANCE REPORT OF DR. AGARWAL'S EYE HOSPITAL LIMITED
FOR THE FINANCIAL YEAR ENDED MARCH 31, 2020**

[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019]

To

The Board of Directors

DR. AGARWAL'S EYE HOSPITAL LIMITED

3rd Floor, Buhari Towers

No. 4 Moores Road Off Greaves Road

Chennai - 600 006.

I, V. Vasumathy, Practising Company Secretary, have examined:

- (a) all the documents and records made available to me and explanation provided by **DR. AGARWAL'S EYE HOSPITAL LIMITED** ("the listed entity");
- (b) the filings / submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document / filing, as may be relevant, which has been relied upon to make this certification;

for the year ended March 31, 2020 ("Review Period"), in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;



- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars / guidelines issued thereunder; and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matter specified below:

Sl. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	As per Regulation 31 (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the listed entity shall ensure that hundred percent of shareholding of promoter(s) and promoter group is in dematerialized form and the same is maintained on a continuous basis in the manner as specified by the Board.	The promoter / promoter group shareholding is not held hundred percent in dematerialized form. 6,700 shares are held by two promoter / promoter group in physical form.	Non-compliance of Regulation 31 (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder in so far as it appears from my examination of those records.
- (c) No actions were taken against the listed entity / its promoters / directors, either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars / guidelines issued thereunder and the Company does not have any material subsidiary.



- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl. No.	Observations of the Practising Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended	Actions taken by the listed entity, if any	Comments of the Practising Company Secretary on the actions taken by the listed entity
Not Applicable, since there were no such observations made in the previous report.				

Note:

- ❖ Maintenance of secretarial records is the responsibility of the management of the company. My responsibility is to express an opinion on these secretarial records based on my audit.
- ❖ Due to the Covid – 19 Pandemic and the restrictions faced due to the same, physical verification of documents / records have been impacted and hence reliance has been placed on the scanned / soft copies of various documents / records which were provided by the Company.

Signature:

Name of the Practising Company Secretary: V. Vasumathy

FCS No. : 5424; COP No. : 9451

UDIN: F005424B000229516

Place: Chennai

Date: May 12, 2020

