

**Date: 24.04.2021**

**BSE Limited  
Listing Department  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400001**

Dear Sirs,

**Sub: Compliance Certificate as per Regulation 40(9) of the SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) Regulations, 2015**

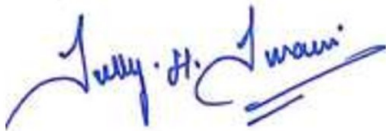
**Ref: Scrip Code 526783**

With reference to the above, we are enclosing herewith the Compliance Certificate as per Regulation 40(9) of the SEBI LODR 2015 issued by a Practicing Company Secretary for the Half Year from October 1, 2020 to March 31, 2021.

Kindly acknowledge the receipt.

Thanking you,

**Yours Faithfully,  
For Dr. Agarwal's Eye Hospital Limited**



**Jully H Jivani  
Company Secretary and Compliance Officer**

**Dr. Agarwal's Eye Hospital Limited**

**Regd. & Corporate Office**

3rd Floor, Buhari Towers, No.4 Moores Road, Off Greams Road, Near Asan Memorial School, Chennai - 600 006.

Tel: +91 44 4378 7777 | +91 44 4378 7778 | CIN: L85110TN1994PLC027366 | GST No: 33AAACD2373G1Z2

Email: [info@dragarwal.com](mailto:info@dragarwal.com) | Website: [www.dragarwal.com](http://www.dragarwal.com)



**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF-YEAR ENDED MARCH 31, 2021**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had made it mandatory that transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. Further, SEBI vide its circular dated September 7, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI vide its circular dated December 2, 2020 specified operational guidelines for transfer and dematerialization of re-lodged physical shares.

Accordingly, on the basis of examination of relevant documents relating to **Dr. Agarwal's Eye Hospital Limited** ("the Company"), 3<sup>rd</sup> Floor, Buhari Towers, No. 4, Moores Road Off. Greams Road, Chennai - 600 006, maintained by their Registrar & Share Transfer Agents ("RTA"), **Integrated Registry Management Services Private Limited**, II Floor, "Kences Towers", No. 1, Ramakrishna Street, North Usman Road, T. Nagar, Chennai - 600 017, pertaining to the half-year period from **October 1, 2020 to March 31, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided and explanations given by the Company and the Registrar & Share Transfer Agents, I hereby certify that:

(A) All certificates have been delivered within the period stipulated under SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 from the date of lodgement of transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the half-year period from **October 1, 2020 to March 31, 2021**.

(B) *Any other information, if applicable:* Due to the Covid - 19 Pandemic and the restrictions faced due to the same, physical verification of documents / records have been impacted and hence reliance has been placed on the scanned / soft copies of various documents / records / e-mails which were provided by the Company and the Registrar & Share Transfer Agent.

Digitally signed by VASUMATHY VASUDEVAN  
DN: c=IN, o=Personal, title=2700,  
pseudonym=667fdeceab57f93d374ad80965  
a9ec43513adbd73811c816886c48519cea11,  
postalCode=600018, st=Tamil Nadu,  
serialNumber=36c31450a97700883c9138,  
9711b3ee74be02e0fb84b42161140aa330  
e.cn=VASUMATHY VASUDEVAN  
Date: 2021.04.23 16:05:00 +05'30'

Signature:

Name of the Practising Company Secretary: V. Vasumathy

FCS No. : 5424; COP No. : 9451

UDIN: F005424C000169236

Place: Chennai

Date: April 23, 2021